



METROPOLITAN
TRANSPORTATION
COMMISSION

Joseph P. Bort MetroCenter
101 Eighth Street
Oakland, CA 94607-4700
TEL 510.817.5700
TDD/TTY 510.817.9769
FAX 510.817.5848
E-MAIL info@mtc.ca.gov
WEB www.mtc.ca.gov

Memorandum

TO: Administration Committee

DATE: June 4, 2014

FR: Executive Director

W. I. 1152

RE: MTC Resolution No. 4151: MTC FY 2014-15 Agency Budget

Staff requests Committee approval of MTC Resolution No. 4151, the MTC FY 2014-15 agency budget, and asks that the Committee forward the resolution to the Commission for final approval. The recommended budget is balanced and currently shows a slight operating surplus (Attachment A).

Overview of Budgets

MTC owns administrative responsibility for an annual operating budget of over three quarters of a billion dollars. The largest of the component units is BATA followed by MTC then SAFE. This responsibility includes all special revenue and grant funds as well as the Joint Powers Authority funds of BAHA and BAIFA. The operating budget for all three of the largest entities is presented below as information necessary to understand the overall financial breadth of the organization. In addition, while staffing decisions are made by the respective boards, for financial control purposes the staffing is administered through MTC which maintains the personnel schedules, regulations and payroll system.

Table 1 on the next page shows the budgets for the three largest agencies to provide an overview of the revenue and expenditure by agency with a combined total for FY 2013-14 and FY 2014-15. The biggest change between the two years is BATA's receipt during FY 2013-14 of the final \$300 million state payment for the seismic retrofit program which allowed for retirement of BAIFA's State Payment Acceleration Notes (SPANs). The SPANs were the original financing that securitized the balance of state funding.

Table 1

				2015	2014
	MTC	SAFE	BATA	Total	Total
Revenue:					
Sales Tax	\$ 11,900,000	\$ -	\$ -	\$11,900,000	\$ 11,200,000
Planning Funds	11,232,751	-	-	11,232,751	10,777,020
Grants	1,970,341	10,000,000	-	11,970,341	311,268,181
Toll	-	-	674,959,116	674,959,116	655,558,001
DMV	-	6,000,000	-	6,000,000	5,900,000
Other	2,180,000	106,000	83,351,245	85,637,245	89,748,063
Total Revenue	27,283,092	16,106,000	758,310,361	801,699,453	1,084,451,265
Expenditure:					
Salaries and Benefits	22,348,529	853,400	8,039,912	31,241,841	29,941,913
Contractual	29,307,853	11,509,400	140,909,555	181,726,808	174,595,767
General Services	4,761,679	596,900	862,300	6,220,879	6,298,452
Debt Service	-	-	554,252,825	554,252,825	889,258,407
Other	502,000	322,200	3,050,000	3,874,200	2,968,000
Total Expenditure	56,920,061	13,281,900	707,114,592	777,316,553	1,103,062,539
Transfers (in)/out	(29,642,573)	2,680,852	15,165,449	(16,156,548)	14,763,451
Ending balance/(deficit)	\$ 5,604	\$ 143,248	\$36,030,320	\$40,539,448	\$ (33,374,725)
Full Time Staff	140	4	57	201	191

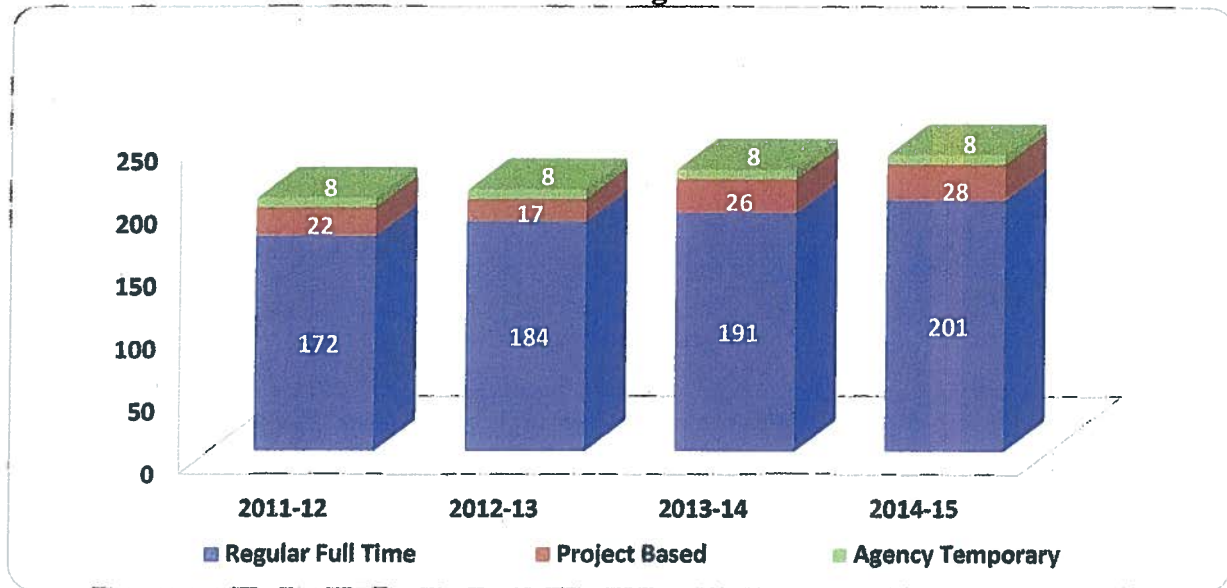
There are a total of 201 regular positions proposed for the combined budget. The budget proposes seven new positions and three conversions from project based positions that will increase the total from 191 to 201. The proposed increase is mainly caused by our development of new tolling activities such as express lanes. The detail of the increase is as follows:

BATA	5 – Express Lanes Support
MTC	1 – Modeling Planner
MTC	1 – Administrative Assistant
MTC	3 – Conversions from project-based to regular employees

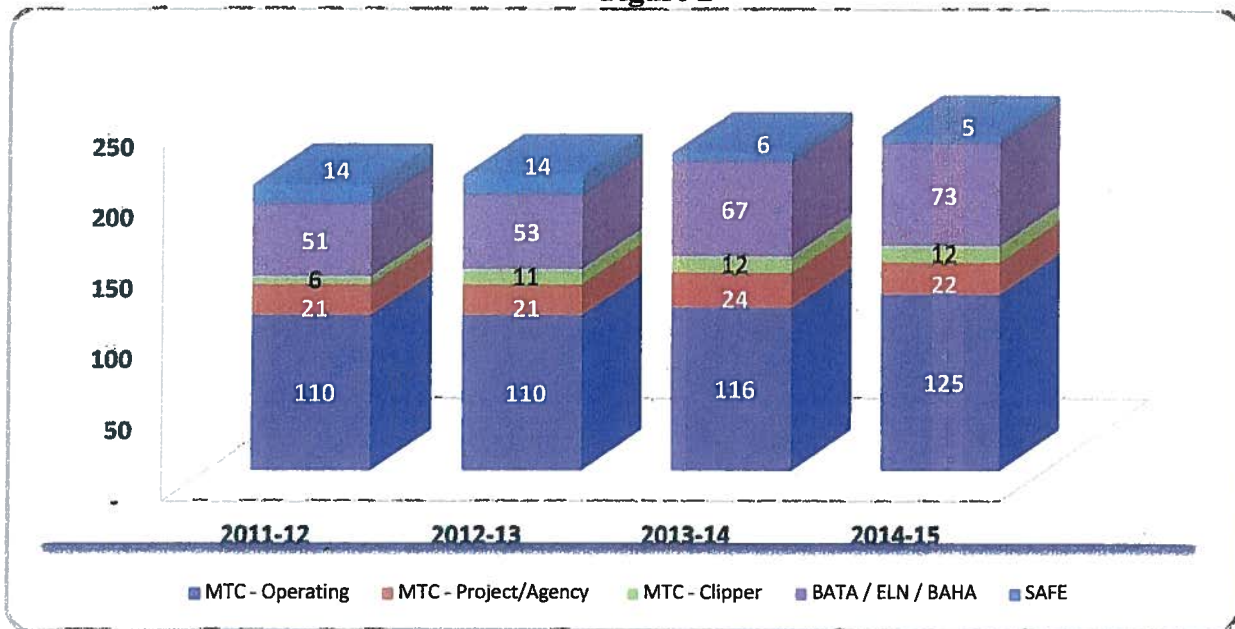
The three project-based employees are being converted to regular employees in recognition of the ongoing nature of the Freeway Performance Initiative and Traffic Management System programs.

There are four distinct levels of staffing utilized throughout the MTC organization. The breakdown and number in each category is described below and in Figure 1 on the following page.

- Regular employees – Regular full- time employees (201)
- Project based employees – Usually full- time employees hired to work on grant or other limited funding programs. Most of the employees receive full benefits and are hired under contract to a Joint Powers Authority called Local Government Services (LGS) (28).
- Agency based contract temporaries – Employees hired under contract to a specific employment agency. Usually it is to backfill for administrative support such as vacations or for highly technical skills for which there is a temporary need.
- Hourly employees – Hourly employees are generally interns and employees who work approximately 960 hours or less each fiscal year.

Figure 1

The regular full- time employees are included in the organization chart signed by the Executive Director and are subject to budget approval. The number of employees cannot be increased without formal change to the adopted budget. Project based, agency temporary and hourly employees are limited by their adopted budget.

Figure 2

As shown in Figure 2 above, MTC and BATA make up the bulk of the full- time employees with 98% of the full-time work force. Over the years, overall staffing has increased in response to additional duties. In MTC, most of the staffing increases have been the result of recognizing certain projects are not temporary and converting the project based employees to regular employees. In BATA, the increase is simply in response to new initiatives such as in-house highway design and construction expertise as well as the new Express Lane Network.

MTC FY 2014-15 Operating Budget

There are two main components to the MTC operating budget. In addition to the general MTC budget, MTC has both operating and project-based grants. Operating grants such as FHWA and FTA 5303 planning grants expire on an annual basis and are included in the MTC annual operating budget. Project grants are those that do not have a specific expiration or an expiration that extends past a single fiscal year. These project grants are adopted and accounted for on a life-to-date revenue and expense basis. The life-to-date schedule as well as FY 2014-15 adjustments are detailed in Attachment B (Page 7).

The Clipper® program is also an Enterprise Fund operated under MTC and is accounted for in a separate Enterprise Fund (Attachment C).

Revenue

Total operating revenue shows a 4% increase from the FY 2013-14 budget. The budget for FY 2014-15 includes overall revenue of \$57 million (Table 2). The proposed budget is approximately \$2 million more than the current MTC budget for FY 2013-14. Staff estimates that FY 2014-15 Transportation Development Act (TDA) sales tax revenue will be approximately \$700,000 (6%) higher than the FY 2013-14 budget and the fourth straight year of continued economic growth.

Table 2

	<u>FY 2011-12 Actual</u>	<u>FY 2012-13 Actual</u>	<u>FY 2013-14 Budget</u>	<u>FY 2014-15 Budget</u>
TDA (Sales tax)	\$10,504,062	\$11,161,661	\$11,200,000	\$11,900,000
Interest/Other	486,069	506,408	480,000	530,000
Planning grants	12,373,297	11,154,365	11,170,583	11,232,751
Transfers	12,329,667	12,173,243	28,748,260	29,942,572
State & Local Funding	<u>4,278,185</u>	<u>2,830,989</u>	<u>3,167,679</u>	<u>3,320,341</u>
Total Operating Revenue	<u>\$39,971,280</u>	<u>\$37,826,666</u>	<u>\$54,766,522</u>	<u>\$56,925,664</u>

The balance of the proposed operating revenue is relatively consistent with the FY 2013-14 budget. While the increase in transfers is only 4% compared to the FY 2013-14 budget, there was a big jump in transfers from FY 2012-13 actual and FY 2013-14 budget which is the result of \$10 million in Exchange Fund revenue flowing to the Transit Oriented Affordable Housing project.

The proposed revenue has been increased by \$391,000 from the May draft mainly in operating transfers. Operating transfers increased by \$380,000 to account for potential benefit increases under discussion in ongoing negotiations for a new employee MOU, STA funding for a pilot fare coordination program and adjustments to the overhead transfers. The balance of the proposed revenue budget for FY 2014-15 remains the same as the draft budget.

Operating Expenses

The operating budget shows a 4% increase in total operating expenses from FY 2013-14 as shown in Table 3 on the next page. The increase is mainly the result of two new positions as well as projected increases in overall benefit costs.

Operating expenses have been increased by \$181,000 from the May draft. The increase is mainly to account for potential changes in the next employee MOU.

Table 3

	FY 2011-12 <u>Actual</u>	FY 2012-13 <u>Actual</u>	FY 2013-14 <u>Budget</u>	FY 2014-15 <u>Budget</u>
Salaries/ Benefits	\$ 14,673,811	\$ 14,805,262	\$ 16,950,675	\$ 17,718,775
OPEB	1,781,487	1,978,984	1,472,459	1,626,115
Agency Temporaries	1,080,289	834,058	1,996,245	3,003,638
Other Expenses	<u>2,589,016</u>	<u>2,918,730</u>	<u>4,809,543</u>	<u>5,263,680</u>
Ops Subtotal	20,124,603	20,537,034	25,228,922	27,612,208
Contractual	12,987,476	14,039,708	29,496,344	29,307,853
Transfer Out	<u>1,803,140</u>	<u>143,778</u>	<u>0</u>	<u>0</u>
Total Operating Expense	<u>\$ 34,915,219</u>	<u>\$ 34,720,520</u>	<u>\$ 54,725,267</u>	<u>\$ 56,920,061</u>

A. Staff Salaries and Benefits

We are proposing an increase of two new and three converted positions for the MTC FY 2014-15 budget. The three positions being converted have no overall impact on the budget because they appeared in the FY 2013-14 budget as project-based employees.

New Positions (2)

- Planning – Support Land Use Model
- Administration – Administrative services support

Including the new positions, total salaries and benefits will increase by approximately \$768,100 (4%). The current employee MOU expires June 30, 2014. We hope to bring a new agreement for consideration by the full Commission at its meeting on June 25th, the financial impact of which will need to be accounted for in the final agency budget adopted at that meeting as well.

B. Agency Temporaries

This expense item will be higher by \$1 million. This is mainly due to five project-based positions that total \$639,627 and a shift from grant funding to the general fund for the difference.

C. Contractual Services

Overall, MTC contractual services will drop by \$188,491 compared to FY 2013-14 due to the use of federal grants to fund consultant services. The transfer to ABAG is proposed to be reduced by \$125,000 which represents the transfer of land use modeling work by a Senior Planner starting in FY 2014-15 as part of an agreed upon strategy to coordinate and secure efficiencies between the two agencies. MTC will continue to work out the details with ABAG for final consideration in June as part of the MTC-ABAG FY 2014-15 funding agreement, which will also be presented directly to the full Commission for action on June 25th.

D. Other Expenses

Other expenses are projected to increase by \$454,000 (9%). The other expenses category includes travel, printing, general operations and IT services. The increase is generally to cover new software licenses, equipment leases, and the GIS Basemap.

Multi-Year Federal Grants

The life-to-date budget for the multi-year federal grants is included in Attachment B. Approximately \$78 million in new grants will be added in FY 2014-15 bringing the total grant program under management to \$166 million. Grants are budgeted on a life-to-date basis and as such only the new funding is subject to the budget approval process.

Capital Projects

Capital projects are also budgeted on a life-to-date basis. The proposed FY 2014-15 budget includes capital expenditures for \$750,000 which includes computer hardware and software purchases and a move coordinator for the relocation to 375 Beale Street. The move coordinator budget is included in the MTC budget for \$250,000, BATA budget for \$200,000 and SAFE budget for \$50,000 for a total move coordinator budget of \$500,000. The Hub Signage life-to-date budget of \$10,654,450 will increase by \$99,853 to reflect the work related to the Real Time Sign Enhancement.

Clipper®

Clipper is an Enterprise Fund operated by MTC. However, as an enterprise, its revenues and expenses do not flow through MTC.

The Clipper operating budget for FY 2014-15 is shown in Attachment C. The Clipper operating expenses are projected to be slightly over \$34 million, with \$27.8 million for Clipper operations, \$2.1 million for staff costs, \$2.5 million for customer outreach/education. The Clipper capital program budget adds \$17 million in FY 2014-15, largely for work related to the Clipper 2 project.

Reserve Balances

The unrestricted reserve is projected to reach \$18 million at the end of FY 2014-15. Based on an operating budget of \$56 million, the unrestricted reserve provides approximately 3 months of operating costs with a target reserve of 6 months. The reserve is very important to MTC particularly because the \$166 million in budgeted grants and the \$34 million in Clipper® costs are all on a reimbursement basis. Without the cash flow capacity provided by the reserve, MTC could not deliver projects on the basis of budgetary priority.

Our current and projected reserve position is shown in Table 4 below.

Table 4

Description	Actual FY 2012-13	Budget FY 2013-14	Budget FY 2014-15
Benefits Reserve	\$ 727,038	\$ 1,500,000	\$1,500,000
Liability Reserve	-0-	500,000	640,000
Compensated Leave	3,857,077	3,700,000	3,700,000
Encumbrances	2,266,373	4,000,000	4,000,000
Fixed Asset Replacement	-0-	500,000	750,000
STA Reserve	1,254,051	2,500,000	2,500,000
Total Restricted	<u>8,104,539</u>	<u>12,700,000</u>	<u>13,090,000</u>
Unrestricted Balance	22,783,703	18,229,497	17,845,101
Total Reserves	<u>\$ 30,888,242</u>	<u>\$ 30,929,497</u>	<u>\$ 30,935,101</u>

Recommendation:

MTC staff recommends that this Committee forward Resolution No. 4151, MTC's FY 2014-15 operating and capital budgets, to the Commission for approval.



Steve Henfinger

AB/ES

Date: June 25, 2014
W.I.: 1152
Referred By: Administration

ABSTRACT

Resolution No. 4151

This resolution approves the Agency Budget for FY 2014-15.

Further discussion of the agency budget is contained in the Executive Director's memorandum dated June 4, 2014.

Date: June 25, 2014
W.I.: 1152
Referred By: Administration

Re: Metropolitan Transportation Commission's Agency Budget for FY 2014-15

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION NO. 4151

WHEREAS, the Metropolitan Transportation Commission (MTC or the Commission) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 *et seq.*; and

WHEREAS, on April 23, 2014 the Commission approved MTC's Overall Work Program (OWP) for Fiscal Year 2014-15 with the adoption of MTC Resolution No. 4141; and

WHEREAS, the OWP identifies MTC's unified work program for FY 2014-15; and

WHEREAS, the final draft MTC Agency Budget for FY 2014-15 as reviewed and recommended by the Administration Committee is consistent with the OWP as adopted pursuant to MTC Resolution No. 4141; now, therefore, be it

RESOLVED, that MTC's Agency Budget for FY 2014-15, prepared in accordance to generally accepted accounting principles and modified accrual, attached hereto as Attachment A, and incorporated herein as though set forth at length, is approved; and, be it further

RESOLVED, that MTC delegates to its Administration or Operations Committees the authority to approve all contracts and expenditures in MTC's Agency Budget for FY 2014-15, providing that there shall be no increase in the overall budget without prior approval of the Commission; and, be it further

RESOLVED, that MTC's Executive Director, or the responsible MTC staff person designated by the Executive Director, shall submit written requests to the Administration or Operations Committees for approval of consultants, professional services, and expenditures authorized in the MTC Agency Budget for FY 2014-15; and, be it further

RESOLVED, that MTC's Executive Director, and the Chief Financial Officer are authorized to carry over and re-budget all grants, contracts and funds properly budgeted in the prior year for which expenditures were budgeted and encumbered and which will take place in FY 2014-15; and be it further

RESOLVED, that the Commission authorizes that the use of funds from the general fund for cash flow purposes, as an advance on authorized expenditures until the expenditures have been reimbursed; and, be it further

RESOLVED, that the Commission authorizes the designation of certain reserves for FY 2014-15 as follows: Benefits, Liability, Compensated leave, Encumbrances, Building, and Fixed Asset Replacement. The Chief Financial Officer is authorized to set aside a \$640,000 liability reserve and establish a \$300,000 contract budget against the liability reserve. The Chief Financial Officer is authorized to utilize the funds in the Benefits Reserve to meet any obligations resulting from the requirements of or changes in the employee labor agreements. No additional expenditures shall be authorized from any designated reserves authorized by MTC's Agency Budget for FY 2014-15 without prior authorization of the Administration Committee; and, be it further

RESOLVED, that the total of full time regular and project employees is established at 201 and will not be increased without approved increase to the appropriate FY 2014-15 budget and that the Executive Director or Designee is authorized to manage all contract, hourly or agency employees within the authorized FY 2014-15 budgets; and, be it further

RESOLVED, that MTC's Executive Director, or the responsible MTC staff person designated by the Executive Director, shall furnish the Administration Committee with a monthly financial report to reflect budgeted and actual income, expenditures, obligations for professional and consultant services and such other information and data as may be requested by the Administration Committee.

METROPOLITAN TRANSPORTATION COMMISSION

Amy Rein Worth, Chair

The above resolution was entered into by
the Metropolitan Transportation Commission
at a regular meeting of the Commission
held in Oakland, California on June 25, 2014.

Date: June 25, 2014
W.I.: 1152
Referred by: Administration

Attachments A, B, C
Resolution No. 4151

METROPOLITAN TRANSPORTATION COMMISSION

AGENCY BUDGET

FY 2014-15

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METROPOLITAN TRANSPORTATION COMMISSION

BUDGET FY 2014-15

Attachment A

SUMMARY

PART 1: OPERATING REVENUE-EXPENSE SUMMARY

	AMENDED BUDGET FY 2013-14	ORIGINAL BUDGET FY 2014-15	Change % Inc./(Dec)	Change \$ Inc./(Dec)
General Planning Revenue	\$21,977,020	\$23,132,751	5%	\$1,155,731
Other MTC Revenue	1,026,840	1,119,000	9%	92,160
Transfers from other Funds	25,841,435	29,842,572	16%	4,101,137
Local Revenue Grants	2,171,341	2,731,341	26%	560,000
Total Operating Revenue	\$61,016,636	\$66,826,664	12%	\$5,909,028
Total Operating Expense	\$60,976,380	\$66,920,061	12%	\$5,944,681
Operating Surplus (Shortfall)	\$41,256	\$8,804	-86%	(\$35,652)
Total Operating Revenue - Prior Year	\$3,749,886	\$0	0%	(\$3,749,886)
Total Operating Expense - Prior Year	\$3,749,886	\$0	0%	(\$3,749,886)
Operating Surplus (Shortfall)- Prior year	\$0	\$0	0%	(\$0)
Total Operating Surplus (Shortfall)	\$41,256	\$8,804	-86%	(\$35,652)

PART2: CAPITAL PROJECTS REVENUE-EXPENSE SUMMARY

Total Annual Capital Revenue	\$1,827,000	\$851,668	-53%	(\$975,332)
Total Annual Capital Expense	\$1,827,000	\$851,668	-53%	(\$975,332)
Capital Surplus(Shortfall)	\$0	\$0	0%	\$0
TOTAL FISCAL YEAR SURPLUS (SHORTFALL)	\$41,256	\$8,804	-86%	(\$35,652)

PART3: CHANGES IN RESERVES

Transfer To Designated Reserve	\$0	\$0		
Net MTC Reserves - in(out)	\$41,256	\$8,804	-86%	(\$35,652)
Current Year Ending Balance	\$0	\$0		

REVENUE DETAIL

	AMENDED BUDGET FY 2013-14	ORIGINAL BUDGET FY 2014-15	Change % Inc./Dec	Change \$ Inc./Dec
General Planning Revenue				
FTA Section 5303	\$2,988,945	\$3,224,008	8%	\$235,061
FTA 5303 carryover FY'13	766,774	0	-100%	(766,774)
FTA 5303 Final Allocation FY'14	0	0	0%	0
FHWA 1/2 % PL	6,995,000	7,484,085	7%	489,085
FHWA PL Final Allocation FY'14	0	524,860	100%	524,860
FHWA PL carryover FY'13	26,301	0	-100%	(26,301)
TDA (Planning/Administrative)	11,200,000	11,900,000	6%	700,000
Subtotal: General Planning Revenue	\$21,977,020	\$23,132,781	5%	\$1,155,731
Other MTC Revenue				
STIP-PPM	\$546,840	\$589,000	8%	\$42,160
HCV lane fines	400,000	480,000	20%	80,000
Interest	80,000	50,000	-38%	(30,000)
Subtotal: MTC Other Revenue	\$1,026,840	\$1,119,000	9%	\$92,160
Operating Transfers				
BATA 1%	\$6,500,000	\$7,000,000	8%	\$500,000
Transfer BATA RM2	1,711,500	500,377	-71%	(1,211,123)
BATA Reimbursements (Audit/misc. contracts)	302,900	1,667,900	451%	1,365,000
Express Lanes	0	480,000	100%	480,000
RAFC Management Services	300,000	300,000	0%	0
Service Authority Freeways Expressways (SAFE)	1,880,036	3,094,262	65%	1,214,226
Exchange Fund Transfer	10,000,000	10,000,000	0%	0
STA Transfer	1,511,174	2,122,652	40%	611,478
2% Transit Transfers	500,000	511,254	2%	11,254
AB664	79,000	79,000	0%	0
Transfer in from Legal Reserve	0	300,000	100%	300,000
Transfer in from Reserve	0	161,439	100%	161,439
Grant Funded - Overhead	1,447,480	1,943,314	34%	495,834
Capital Programs - Overhead	1,609,345	1,782,374	11%	173,029
Subtotal: Transfers from other funds	\$28,841,436	\$29,842,672	16%	\$4,101,137
MTC Total Planning Revenue	\$48,848,295	\$54,194,323	11%	\$5,346,028
Local Revenue Grants				
Misc. Revenue (PMP Sales)	\$700,000	\$850,000	21%	\$150,000
Transit Agencies share for Transit Core	0	500,000	100%	500,000
TFCA (Regional Rideshare), Spare the Air	1,187,000	1,187,000	0%	0
Kresge Foundation	90,000	0	-100%	(90,000)
Cities (Match for P-TAP projects)	194,341	194,341	0%	0
Subtotal: Local Revenue Grants	\$2,171,341	\$2,731,341	26%	\$560,000
Total Current Year Revenue	\$51,019,636	\$56,925,664	12%	\$5,906,028
MTC Prior Year Project Revenue				
Prior Year Project Revenue - Federal/State				
FTA 5303	\$388,520			
FHWA	5,043			
State Transit Assistance (STA)	1,187,893			
Subtotal:	1,681,456			
Prior Year Project Revenue - Local				
General Fund	\$1,233,794			
Transportation Funds for Clean Air (TFCA)	58,170			
Service Authority for Freeways/Expressways (SAFE)	380,941			
PTAP LM	220,743			
PPM	161,207			
2% Transit	104,197			
Other (local Cities)	9,379			
Subtotal:	2,168,430			
Total Prior Year Project Revenue	\$3,749,886			

**EXPENSE SUMMARY
BUDGET FY 2014-15**

	AMENDED BUDGET FY 2013-14	ORIGINAL BUDGET FY 2014-15	Change % Inc./Dec	Change \$ Inc./Dec
Operating Expense				
I. Salaries and Benefits	\$20,419,379	\$22,348,529	9%	\$1,929,150
MTC Staff - Regular	\$16,950,675	\$17,718,775	5%	\$768,100
OPEB	1,472,459	1,628,115	10%	155,656
Temporary Staff	233,800	48,452	-79%	(185,348)
Project Based Staff & LGS	1,724,070	2,904,311	68%	1,180,241
Interns	38,375	50,875	33%	12,500
II. Travel and Training	\$398,000	\$402,000	1%	\$4,000
III. Printing, Repro. & Graphics	\$186,000	\$134,100	-28%	(\$51,900)
IV. Computer Services	\$1,339,350	\$1,651,313	23%	\$311,963
V. Commissioner Expense	\$90,000	\$70,000	-22%	(\$20,000)
VI. Advisory Committees	\$30,000	\$30,000	0%	\$0
VII. General Operations	\$2,766,193	\$2,976,286	8%	\$210,073
Subtotal Staff Cost	\$26,228,922	\$27,612,208	9%	\$2,383,286
IX. Contractual Services	\$25,746,456	\$29,307,853	14%	\$3,561,395
Total Operating Expense Current Year	\$50,975,380	\$56,920,061	12%	\$5,944,681
IX. Contractual Services - Prior Year	\$3,749,886	\$0	-100%	(\$3,749,886)
Transfer out to BAHA	\$0	\$0	-100%	\$0
Total Operating Expense	\$54,726,266	\$56,920,061	4%	\$2,193,795

CAPITAL PROJECTS

	AMENDED BUDGET FY 2013-14	ORIGINAL BUDGET FY 2014-15	Change % Inc./Dec	Change \$ Inc./Dec
Annual Transfer from Reserve to Capital	\$969,000	\$750,000	-23%	(\$219,000)
Annual Capital Expense	\$969,000	\$750,000	-23%	(\$219,000)
Hub Signage Program				
Revenue				
Prop. 1B	\$9,856,450	\$0		\$9,856,450
RM2	362,000	0		362,000
Real Time Sign - BART	300,000	(300,000)		0
Real Flap Sign - STA	136,000	401,668		537,668
	\$10,654,450	\$101,668		\$10,756,118
Expense				
Staff	\$1,200,000	\$276,668		\$1,476,668
Consultants	9,454,450	(175,000)		9,279,450
	\$10,654,450	\$101,668		\$10,756,118

CONTRACTUAL SERVICES DETAIL
New Contractual and Professional Services

Work Element	Description/Purpose	AMENDED BUDGET FY 2013-14	ORIGINAL BUDGET FY 2014-15	Change \$ Inc./Dec
1111	Support Commission Standing Committees			
	Planning Programs - Other	\$200,000	\$200,000	\$0
	TOTAL	\$200,000	\$200,000	\$0
1112	Implement Public Information Program			
	LWW Monitor	\$25,000	\$25,000	\$0
	Photography services for MTC/BATA	75,000	75,000	0
	Design & Production Services	150,000	125,000	(25,000)
	On-call Facilitation and Outreach	50,000	50,000	0
	Peak Democracy - online comment tool	20,000	0	(20,000)
	Awards Program	15,000	80,000	45,000
	Regional Funding Initiatives Poll	0	125,000	125,000
	MTC web integration/portal	150,000	180,000	40,000
	TOTAL	\$485,000	\$650,000	\$165,000
1121	Plan Bay Area			
	Develop Public involvement Program	\$75,000	\$150,000	\$75,000
	SCS/RTP Plan Development	0	100,000	100,000
	Public Opinion Poll	0	0	0
	Economic Development Strategy	0	150,000	150,000
	Draft and Final Plan Design	50,000	0	(50,000)
	TOTAL	\$125,000	\$400,000	\$275,000
1122	Analyze Regional Data using GIS and Travel Models			
	Travel Model Assistance	\$25,000	\$50,000	\$25,000
	Travel Model Research	0	50,000	50,000
	Pilot fare Coordination project	0	150,000	150,000
	Web-Based Projects/Visualization	100,000	100,000	0
	Halo Counties Model Development	675,000	0	(675,000)
	Modeling Hardware & Land use	0	75,000	75,000
	Modeling Software	0	275,000	275,000
	Bicycle and Pedestrian Count	75,000	0	(75,000)
	Regional Transit On Board Travel Survey	1,400,000	750,000	(650,000)
	TOTAL	\$2,275,000	\$1,450,000	(\$825,000)
1124	Regional Goods Movement Plan			
	Regional Goods Movement Study	\$200,000	\$300,000	\$100,000
	TOTAL	\$200,000	\$300,000	\$100,000
1132	Advocacy Coalitions			
	Legislative advocates - Sacramento	\$110,800	\$115,000	\$4,400
	Legislative advocates - Washington D.C.	263,100	263,000	(100)
	TOTAL	\$373,700	\$378,000	\$4,300
1162	Agency Financial Management			
	Financial Audit	\$385,000	\$385,000	\$0
	Project Audits	0	50,000	50,000
	OPEB Actuary	10,000	15,000	5,000
	Financial System Upgrade	50,000	20,000	(30,000)
	TOTAL	\$445,000	\$470,000	\$25,000
1163	Administrative Services			
	Total Compensation Study	\$50,000	\$80,000	\$10,000
	Ergonomics	30,000	20,000	(10,000)
	Internship Program	185,000	199,725	14,725
	TOTAL	\$265,000	\$279,725	\$14,725
1161	Information Technology Services			
	Network/Security Support	\$0	\$0	\$0
	Web/DB Application Development/Integration	50,000	50,000	0
	Network Assistance	0	50,000	50,000
	Enterprise data and process review	0	200,000	200,000
	Novell to MS Migration	55,000	0	(55,000)
	TOTAL	\$105,000	\$300,000	\$195,000
1212	Performance Measuring and Monitoring			
	State of the Region/Performance Monitoring	\$0	\$150,000	\$150,000
	State of Good Repair Performance Analysis	0	95,800	95,800
		\$0	\$245,800	\$245,800
1222	Regional Rideshare Program			
	511 Ridesharing Program Operations	\$1,261,555	\$1,000,000	(\$261,555)
	511 Program Marketing	0	175,000	175,000
	SB 1339 Implementation	625,500	43,877	(581,623)
	Project audit	2,294	0	(2,294)
	TOTAL	\$1,889,349	\$1,218,877	(\$670,472)

CONTRACTUAL SERVICES DETAIL

Work Element	Description/Purpose	AMENDED BUDGET FY 2013-14	ORIGINAL BUDGET FY 2014-15	Change \$ Inc./Dec
1223	Operational Support for Regional Programs			
	ITS/511 Program Technical Advisor	\$34,410	\$0	(\$34,410)
	TMC Legacy Projects and Contingency	0	700,000	700,000
	TMS Technical Advisor & Guidance Bench	0	104,377	104,377
	Operations Department Strategic Plan	50,000	0	(50,000)
	TOTAL	\$84,410	\$804,377	\$719,967
1224	Regional Traveler Information			
	511 Traffic/Real Time Transit	\$903,800	\$795,559	(\$108,241)
	HSP: 511 Real-Time	0	127,000	127,000
	RG	0	43,598	43,598
	511 Web Services	70,998	0	(70,998)
	AT & T	172	0	(172)
	511 Transit	970,722	488,090	(502,632)
	511 ESRI License	5,276	6,882	1,606
	TOTAL	\$1,950,968	\$1,441,127	(\$509,839)
1228	Regional Transportation Emergency Operation			
	Satellite Telephone-Annual Operations	\$33,800	\$50,000	\$16,200
	Transit Emergency Response	0	100,000	100,000
	EOC Training & Support	20,000	73,000	53,000
	TOTAL	\$53,800	\$223,000	\$169,200
	Ongoing Emergency Exercise Support			
	Joint Operations at @ Beale Street	\$300,000	\$300,000	\$0
	Transit Service Contingency	0	1,300,000	1,300,000
	TOTAL	\$300,000	\$600,000	\$570,000
1233	Transportation Asset Management			
	Software Development and Maintenance	\$750,000	\$850,000	\$100,000
	Software Training Support	81,124	84,410	3,286
	Transit Capital Inventory	150,000	200,000	50,000
	TAM Plan Development and Performance	200,000	100,000	(100,000)
	P-TAP Projects	194,341	194,341	0
	Quality Assurance Program	50,000	50,000	0
	TOTAL	\$1,425,465	\$1,478,751	\$53,286
1234	Arterial Operations			
	Arterial Operations - Next Generation	\$0	\$500,000	\$500,000
	Caltrans	0	0	0
	TOTAL	\$0	\$500,000	\$500,000
1237	Freeway Performance Initiative			
	Managed Lanes Master Plan (Study)	\$0	\$480,000	\$480,000
	Freeway Performance Monitoring	230,778	355,000	124,222
	TOTAL	\$230,778	\$835,000	\$604,222
1311	Lifeline Planning			
	Community - Based Transportation Plan Funding Ag.	\$360,000	\$360,000	\$0
	Lifeline Cycle 3 Means Based Fare Study/Call for Prj.	500,000	300,000	(200,000)
	TOTAL	\$860,000	\$660,000	(\$200,000)
1413	Climate Initiative			
	Climate Change Coordinator (JPC)	\$235,000	\$145,000	(\$90,000)
	EV Strategic Council	35,000	35,000	0
	TOTAL	\$270,000	\$180,000	(\$90,000)
1514	Regional Assistance Programs			
	Performance audits - TDA audit & RM2 Oversight	\$418,300	\$244,650	(\$173,650)
	TOTAL	\$418,300	\$244,650	(\$173,650)
1517	Transit Sustainability			
	Transit Sustainability/Planning	\$1,266,774	\$511,254	(\$755,520)
	SRTP	0	540,000	540,000
	Transit Core Capacity Analysis	0	750,000	750,000
	TOTAL	\$1,266,774	\$1,801,254	\$534,480
1611	Transportation for Livable Communities			
	ABAG - FHWA/FTA5303/TDA	\$2,157,916	\$2,112,292	(\$45,624)
	Transit Oriented Affordable Housing Fund	10,000,000	10,000,000	0
	Access Public Lands near Transit	0	0	0
	PDA Assessment	0	250,000	250,000
	PDA Transit Station Enhancement Policy	0	300,000	300,000
	Regional Forums and Outreach	0	0	0
	PBA Implementation Studies	0	0	0
	Complete Streets Technical Assistance	85,000	85,000	0
	TOTAL	\$12,242,916	\$12,747,292	\$504,376
106	Legal Services	\$250,000	\$300,000	\$50,000
101	Encumbrance	\$0	\$0	\$0
	Total consultant contracts:	\$26,746,466	\$29,307,853	\$3,561,386

LTD Federal Grants Budget

Attachment B

	1	2	3 = (1-2)	4	5	6	7 = (3+4-5-6)
STP Grants	LTD Grant	LTD Actual & Enc	Balance	New Grant	staff budget	Consultant budget	Balance
	thru FY 2014	thru FY 2014	thru FY 2014	FY 2014-16	FY 2014-15	FY 2014-15	FY 2014-15

Grant # / Fund Project Description

Source #							
6084-137 1573	Travel Information	\$18,000,000	\$18,000,000	\$0	\$0	\$0	\$0
6084-146 1580	Station Area Planning	17,957,891	17,362,250	595,641	0	0	237,141
6084-152 1583	CMA Planning	27,231,000	27,100,513	130,487	0	358,500	130,487
6084-156 1585	Regional Streets and Roads	1,500,000	1,187,561	312,439	0	0	312,439
6084-157 1586	Pavement Management	4,500,000	4,471,088	28,912	0	0	28,912
6084-155 1587	511 Traffic and 511 Transit	28,700,000	28,700,000	0	0	0	0
6084-162 1590	Freeway Performance Initiative	750,000	327,318	422,682	0	422,682	0
6160-018 1595	Freeway Performance Initiative	3,200,000	2,981,697	238,303	0	0	238,303
6084-175 1801	CMA Planning	42,479,000	15,317,632	27,161,368	0	678,000	18,546,368
6084-178 1803	511 Grant	11,825,000	6,618,176	5,006,824	20,875,000	1,145,368	9,362,491
6084-178 1805	Regional Streets and Roads	1,200,000	283,286	916,704	0	34,410	265,590
6084-179 1806	Pavement Management	6,000,000	1,876,271	4,123,729	0	0	1,500,000
6160-022 1807	Freeway Performance Initiative	750,000	750,000	0	0	0	2,823,729
6084-180 1809	Freeway Performance Initiative Studies	4,000,000	186,054	3,833,946	0	761,885	1,072,061
6084-185 1810	Freeway Performance Initiative	350,000	0	350,000	0	0	350,000
6084-188 1812	OBAG Regional PDA	8,000,000	0	8,000,000	0	0	8,000,000
6084-187 1811	OBAG Regional PDA - ABAG	2,000,000	600,000	1,400,000	0	0	660,000
NEW	Arterial Operations			2,600,000	0	0	740,000
		\$176,242,891	\$123,721,868	\$52,521,036	\$23,375,000	\$2,619,661	\$33,006,263
							\$40,270,111

CMAQ Grants

6084-151 1582	Regional Marketing	\$2,100,000	\$2,100,000	\$0	\$0	\$0	\$0
6084-139 1584	Incident Management & FPI	9,233,000	8,954,804	2,278,096	0	244,722	2,033,374
6084-155 1588	Rideshare	7,800,000	7,800,000	0	0	0	0
6084-180 1589	Arterial Operations	10,750,000	5,870,378	4,879,622	0	310,483	2,500,000
6084-164 1591	Climate Initiatives Program Public Outrea	7,393,432	8,054,398	1,339,034	0	193,305	1,053,000
6084-165 1592	Climate Initiatives Evaluation	4,000,000	2,854,862	1,145,138	0	0	1,097,000
6160-018 1596	Freeway Performance Initiative	8,608,000	3,488,439	5,121,561	0	685,554	2,000,000
6160-020 1800	Incident Management	7,300,000	1,570,383	5,729,617	0	370,200	2,407,192
6084-176 1804	511 Grant	18,270,000	6,204,961	10,065,039	0	1,113,771	5,362,354
NEW	Incident Management			10,840,000	0	0	10,840,000
NEW	Climate Initiatives CYCLE 2	0	0	14,000,000	0	0	14,000,000
		\$73,464,432	\$42,896,325	\$30,568,107	\$24,840,000	\$2,918,035	\$39,269,546
							\$13,220,527

FTA GRANTS

90-Y555 1613	FTA 5307	\$714,716	\$696,103	\$18,613	\$0	\$0	\$14,455	\$4,158
NEW	FTA 5307	0	0	0	2,889,865	0	2,889,865	0
37-X076 1614	JARC	5,357,119	5,019,119	338,000	0	0	0	338,000
37-X084 1622	JARC	990,671	901,678	88,993	0	0	0	88,993
37-X104 1625	JARC	2,654,120	2,443,862	210,258	0	0	0	210,258
37-X133 1627	JARC	1,004,559	891,312	113,247	0	0	0	113,247
37-X164 1629	JARC	805,190	412,606	392,584	0	0	0	392,584
NEW	JARC	0	0	0	2,335,149	93,863	2,241,286	0
NEW	FTA 5339	0	0	0	22,746,292	0	22,746,292	0
NEW	New Freedom	0	0	0	2,003,314	100,166	1,903,148	0
57-X023 1623	New Freedom	1,545,232	1,530,232	15,000	0	0	0	15,000
57-X032 1624	New Freedom	1,612,117	1,612,117	0	0	0	0	0
57-X050 1626	New Freedom	3,748,859	3,616,530	132,328	0	0	96,000	36,328
57-X074 1628	New Freedom	2,793,517	2,793,517	0	0	0	0	0
		\$21,228,100	\$19,917,075	\$1,308,024	\$29,974,620	\$194,029	\$29,891,046	\$1,198,569

HPP/VPP GRANTS

1739	VPPL Value PRICING	\$560,000	\$560,000	\$0	\$0	\$0	\$0	\$0
1110	HEPP TRAVEL MODEL	90,000	0	90,000	0	0	0	90,000
		\$650,000	\$660,000	\$90,000	\$0	\$0	\$0	\$90,000

HUD Grant

1737	HUD Grant	\$4,991,338	\$2,037,951	\$2,953,385	\$0	\$228,831	\$0	\$2,724,554
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Other Grants

1111	FHWA - Climate Change	\$300,000	\$300,000	\$0	\$0	\$0	\$0	\$0
		\$300,000	\$300,000	\$0	\$0	\$0	\$0	\$0

Total Federal Grants Budget	\$276,864,769	\$189,433,206	\$87,431,562	\$78,189,620	\$5,960,567	\$102,168,865	\$57,603,761
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CONTRACTUAL SERVICES DETAIL Federal Grants

Work Element	Description/Purpose	AMENDED BUDGET FY 2013-14	ORIGINAL BUDGET FY 2014-15	Change \$ Inc./Dec
1122	Analyze Regional Data using GIS and Travel Models			
	Travel Mode Research	\$90,000	\$0	(\$90,000)
	TOTAL	\$90,000	\$0	(\$90,000)
1162	Agency Financial Management			
	Project Audits	\$200,000	\$300,000	\$100,000
	TOTAL	\$200,000	\$300,000	\$100,000
1222	Regional Rideshare Program			
	511 Ridesharing Program Operations	\$2,955,000	\$3,026,262	\$71,262
	Rideshare: Employer Services (CMAs)	450,000	450,000	0
	SB 1339		140,000	140,000
	Project Audits	17,706	0	(17,706)
	TOTAL	\$3,422,706	\$3,616,262	\$193,556
1223	Operational Support for Regional Programs			
	ITS/511 Program Technical Advisor	\$265,590	\$0	(\$265,590)
	TMS Technical Advisor & Guidance Bench	0	805,623	805,623
	TOTAL	\$265,590	\$805,623	\$540,033
1224	Regional Traveler Information			
	511 Traffic Real Time Transit	\$5,728,966	\$6,140,441	\$411,475
	511 Transit	3,231,861	3,612,910	381,049
	RG	0	336,491	336,491
	511 Web Services	547,972	0	(547,972)
	511 ESRI License	44,285	53,118	8,833
	AT & T	1,328	0	(1,328)
	TOTAL	\$9,552,392	\$10,142,960	\$590,568
1233	Transportation Asset Management			
	Software Training Support	\$238,876	\$265,560	\$26,714
	Transit Capital	0	14,455	14,455
	P-TAP Projects	1,500,000	1,500,000	0
	TOTAL	\$1,738,876	\$1,780,045	\$41,169
1234	Arterial Operations Coordination			
	Program for Arterial System	\$3,750,000	\$2,500,000	(\$1,250,000)
	Arterial Operations - Next Generation	0	2,500,000	2,500,000
	TOTAL	\$3,750,000	\$5,000,000	\$1,250,000
1235	Incident Management			
	Incident Management Task Force	\$460,000	\$140,000	(\$320,000)
	I-880 ICM	800,000	13,107,192	12,307,192
	TOTAL	\$1,260,000	\$13,247,192	\$11,987,192
1237	Freeway Performance Initiative			
	Corridor Studies	\$221,154	\$0	(\$221,154)
	Sonoma 101 Repair	350,000	0	(350,000)
	FPI Implementation and Ramp Metering	1,000,000	4,000,000	3,000,000
	Freeway Performance Monitoring	422,683	422,682	(1)
	TOTAL	\$1,993,837	\$4,422,682	\$2,428,845
1310	Lifeline Program			
	Lifeline Planning	\$4,019,024	\$5,131,151	\$1,112,127
	TOTAL	\$4,019,024	\$5,131,151	\$1,112,127
1414	Climate Change FHWA Grant			
	Climate Change	\$300,000	\$0	(\$300,000)
	TOTAL	\$300,000	\$0	(\$300,000)
1413	Climate Initiative			
	Climate Initiative Outreach and Marketing program	\$1,650,000	\$16,010,000	\$14,360,000
	TOTAL	\$1,650,000	\$16,010,000	\$14,360,000
1612	Federal TIP Development			
	Transit Operators	\$11,207,601	\$22,746,292	\$11,538,691
	TOTAL	\$11,207,601	\$22,746,292	\$11,538,691
1617	Transit Sustainability			
	Transit Core Capacity Analysis	\$0	\$250,000	\$250,000
	TOTAL	\$0	\$250,000	\$250,000
1618	New Freedom			
	New Freedom Projects	\$2,114,921	\$1,999,148	(\$115,773)
	TOTAL	\$2,114,921	\$1,999,148	(\$115,773)
1611	Transportation for Livable Communities			
	ABAG - STP	\$1,368,000	\$1,338,000	(\$30,000)
	BCDC STP	340,000	340,000	0
	CMAs - STP	6,714,000	6,919,000	205,000
	Access Public Lands near Transit	0	500,000	500,000
	PDA Assessment	560,000	0	(560,000)
	Regional Forums and Outreach	0	0	0
	PBA Implementation Studies	0	515,000	515,000
	SR 82 Study	0	275,000	275,000
	PDA Planning Program	8,359,891	6,818,500	(1,541,391)
	TOTAL	\$17,341,891	\$16,705,500	(\$636,391)
Total Federal funded Consultants		\$66,908,838	\$102,166,866	\$43,258,017

Attachment C

Clipper Operating:

	AMENDED BUDGET FY 2013-14	ORIGINAL BUDGET FY 2014-15	Change \$ Inc./(Dec)
Revenue:			
CMAQ	\$180,000	\$0 -100%	(\$180,000)
RM2	1,700,000	1,350,000 -21%	(350,000)
STA	17,236,753	16,064,723 -7%	(1,172,030)
Transit Operators	12,920,457	16,812,000 30%	3,891,543
	<u>\$32,037,210</u>	<u>\$34,226,723</u> 7%	<u>\$2,189,513</u>
Expenses:			
Salaries and Benefits	\$1,817,123	\$2,021,148 11%	\$204,025
Cyber Liability Insurance	0	30,600 100%	30,600
Travel	30,000	72,975 143%	42,975
Promotion/Outreach/Fare Inc.	2,900,000	2,590,000 -11%	(310,000)
Bad Debt	2,400,000	1,700,000 -29%	(700,000)
Clipper Operations	24,890,087	27,812,000 12%	2,921,913
	<u>\$32,037,210</u>	<u>\$34,226,723</u> 7%	<u>\$2,189,513</u>

Clipper Capital:

	LTD Budget Thru FY2013-14	ORIGINAL BUDGET FY 2014-15	LTD Budget Thru FY2014-15
Revenue:			
CMAQ	\$58,252,723	\$11,104,827	\$69,357,550
Card Sales	1,334,000	1,000,000	2,334,000
ARRA	11,000,000		11,000,000
FTA	25,177,072		25,177,072
STP	43,605,002		43,605,002
STA	21,207,597		21,207,597
Prop 1B	1,000,000		1,000,000
SFMTA	3,905,421		3,905,421
GGGHTD	2,975,000		2,975,000
BART	725,000		725,000
MTC Exchange Fund	8,269,158		8,269,158
BATA	27,124,813		27,124,813
Transit Operators	2,613,000	5,250,000	7,863,000
WETA	500,000		500,000
Sales Tax	99,311		99,311
	<u>\$207,788,097</u>	<u>\$17,354,827</u>	<u>\$225,142,924</u>
Expense:			
Staff Costs	\$7,041,676	\$1,663,197	\$8,704,873
Travel	3,208		3,208
Pilot Equipment Maintenance	3,093,834		3,093,834
Transit Agency Funded Projects	2,563,000	5,250,000	7,813,000
Design	54,690,574		54,690,574
Site Preparation	3,899,437		3,899,437
Construction	21,867,682		21,867,682
Consultants	18,915,749	3,450,154	22,365,903
Engineering	7,953,061		7,953,061
Communications	1,583,000		1,583,000
Marketing	2,212,029		2,212,029
Financial Services	391,600		391,600
Equipment	41,574,714	2,500,000	44,074,714
Clipper Cards	9,122,828	1,500,000	10,622,828
Other	32,875,705	2,991,476	35,867,181
	<u>\$207,788,097</u>	<u>\$17,354,827</u>	<u>\$225,142,924</u>